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UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.			006401.00435	
First Inventor Barr			esi et al	
Title	Biodegra	adable	Sorbents	
Express Mail Lahel No.			EV 30521264 US	

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Commissioner for Patents APPLICATION ELEMENTS Mail Stop Patent Application ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 1. Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🛛 Specification [Total Pages] a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or Cross Reference to Related Applications ii. 🔲 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention - Brief Summary of the Invention 9. 🔲 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 37 C.F.R. 3.73(b) Statement ☐ Power of - Detailed Description (when there is an assignee) - Claim(s) Attorney - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) 4. 🔲 Drawing(s) (35 U.S.C.113) [Total Sheets 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations ☐ Formal ☐ Informal 13. 🛛 **Preliminary Amendment** 5. Oath or Declaration [Total Sheets a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Oopy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) 15. 🗌 Certified Copy of Priority Document(s) (for a continuation/divisional with Box 18 completed) (if foreign priority is claimed) i. DELETION OF INVENTOR(S) 16. 🔲 Nonpublication Request under 35 U.S.C. 122 Signed statement attached deleting inventor(s) (b)(2)(B)(i). Applicant must attach form PTO/SB/35 named in the prior application, see 37 CFR or its equivalent. 1.63(d)(2) and 1.33(b). 17. 🔲 Other: 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10 / 172588 Prior application information: Examiner Levy Art Unit: 1616 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 22908 or 🗖 Correspondence address below ☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Name Address City State Zip Code Country Telephone Name (Print/Type) Aseet Patel Registration No. (Attorney/Agent) Signature Date October 29, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mali Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



FEE	TRANSMITTA	L
	for FY 2004	

Effective 10/01/2003. Patent fees are subject to annual revision.

770

Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT

Complete if Known				
Application Number	Unassigned			
Filing Date	October 29, 2003			
First Named Inventor	Barresi			
Examiner Name	Unassigned			
Art Unit	Unassigned			
Attorney Docket No.	006401.00435			

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None			3. AD	3. ADDITIONAL FEES					
Order ☑ Deposit Account:				Large	Entity	Small E	ntity		
Deposit				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Pald
Account	19-0733			1051	130	2051	65	Surcharge - late filing fee or oath	
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit	D0.14			1053	130	1053	130	Non-English specification	
Account Banner & Witcoff, LTD.).	1812	2,520	1812	2,520	For filing a request for reexamination	
The Director is authorized to: (check all that apply)					920*	1804	920*	Requesting publication of SIR prior to Examiner action	
□ Charge fee(s) indicated below □ Credit any overpayments □ Charge any additional fee(s) during the pendency of this application □ Charge fee(s) indicated below, except for the filing fee				1805	1,840*	1805	1,840°	Requesting publication of SIR after Examiner action	
			ing tee	1251	110	2251	55	Extension for reply within first month	
to the above-identified deposit account. FEE CALCULATION				1252	420	2252	210	Extension for reply within second month	
1. BASIC FI	LING FEE			1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity				1254	1,480	2254	740	Extension for reply within fourth month	
	ee Fee <u>Fee</u> ode (\$)	Description	Fee Pald	1255	2,010	2255	1,005	Extension for reply within fifth month	
	• • •	ty filing fee	770	1401	330	2401	165	Notice of Appeal	
		ign filing fee	110	1402	330	2402	165	Filing a brief in support of an appeal	-
		nt filing fee		1403	290	2403	145	Request for oral hearing	
1004 770 2	004 385 Reis	ssue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80 Prov	visional filling fe	•	1452	110	2452	55	Petition to revive – unavoidable	
	SUBTOTAL (1)	1	(\$) 770	1453	1,330	2453	665	Petition to revive - unintentional	
				1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLA	IM FEES FOR U	TILITY AND	REISSUE	1502	480	2502	240	Design issue fee	
		tra Fee		1503	640	2503	320	Plant issue fee	
Total Claims 7		aims belo	Paid = 0	1460	130	1460	130	Petitions to the Commissioner	
Independent	╡	== ^ ==	== - ==	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims 1	** =1	×	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent	011 5-416	x	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of	
Large Entity Fee Fee	Small Entity Fee Fee			1809	770	2809	385	properties)	<u> </u>
Code (\$)	Code (\$)	Fee Description	_					Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202 9	Claims in exces		1810	770	2810	385	For each additional invention to be	
1201 86 1203 290	2201 43 2203 145		aims in excess of 3					examined (37 CFR § 1.129(b))	
1203 290	2203 145 2204 43	** Reissue inde	dent claim, if not paid pendent claims over	1801 1802	770 900	2801	385 900	Request for Continued Examination (RCE)	
	==	original patent		1002	900 I	1002	900	Request for expedited examination of a design application	
1205 18	2205 9	2205 9 Over original patent						\vdash	
SUBTOTAL (2) (\$) 0					ee (specif				
				*Reduc	ced by Ba	asic Filing	Fee Pa	aid SUBTOTAL (3) (\$) 0	
**or number previously paid, if greater, For Reissues, see above									

SUBMITTED BY Complete (if applicable) Registration No. 53,874 Name (Print/Type) Aseet Patel (Attorney/Agent) Telephone 312-463-5000 Signature October 29, 2003

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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CERTIFICATE OF EXPRESS MAIL (PATENT)

Attorney Docket No. 006401.00435

Express Mail No. EV 305251264 US Deposited October 29, 2003

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under §37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

By:_

Baressi, U.S. Patent Application for "BIODEGRADABLE SORBENTS"

- Transmittal (in duplicate)
- Fee Transmittal (in duplicate)
- ADS (4 pages)
- Copy of Executed Declaration (3 pages)
- Patent Application (31 pages)
- Preliminary Amendment (3 pages)
- Return Receipt Postcard